

BUFFALO FISCAL STABILITY AUTHORITY

RESOLUTION NO. 08-03

ADOPTION OF WHISTLEBLOWER POLICY

WHEREAS, as a State public authority, the Buffalo Fiscal Stability Authority (“BFSA”) is subject to the provisions and requirements of the Public Authorities Accountability Act of 2005 (“PAAA”); and

WHEREAS, the PAAA requires that all public authorities “establish written policies and procedures on personnel including policies protecting employees from retaliation for disclosing information concerning acts of wrongdoing, misconduct, malfeasance, or other inappropriate behavior by an employee or board member of the authority, investments, travel, the acquisition of real property and the disposition of real and personal property and the procurement of goods and services”; and

WHEREAS, BFSA already has established and adopted a Code of Ethics.

NOW, THEREFORE BE IT RESOLVED, that the Buffalo Fiscal Stability Authority hereby adopts the following whistleblower policy:

It is the policy of BFSA to encourage employees to report illegal or unethical practices. Employees who have a reason to believe or suspect that BFSA, its agents, employees, Board members or contractors are acting illegally or engaging in unethical practices or acting in a manner contrary to applicable laws must report such activity. Broad categories of suspect conduct include, but are not limited to: violation of law or government regulations; violation of BFSA policy; mismanagement; waste of BFSA and/or taxpayer funds; abuse of BFSA; and wrongful conduct. Some examples include, but are not limited to: dishonest acts and/or fraudulent activity; harassment; discrimination; violation of controlled substance laws; embezzlement; theft; destruction; removal or concealment of property; alteration or falsification of paper or electronic documents; false claims and/or misrepresentation of facts; and inappropriate use of computer systems (including hacking, software piracy, viewing and/or sending unlawful or obscene emails or websites).

Any issues or concerns of this type should be brought to the Executive Director or Human Resource Officer. If the Executive Director or Human Resource Officer is involved in the complained of action, the employee may bring this matter to the Chair of the Board of Directors or the State Inspector General’s Office. Any such communication will be strictly confidential. BFSA will not tolerate any form of retaliation against an employee for raising concerns about practices within BFSA.

This resolution shall take effect immediately.

Approved January 28, 2008

/S/ George K. Arthur, Secretary